

PATENT APPLICATION
Docket: 14321.79

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
)
)
 Tsutomu Kitoh et al.)
)
)
Serial No.: 10/540,789) Art Unit
) 2883
Filed: April 14, 2006)
)
Confirmation No.: 2077)
)
For: ARRAYED WAVEGUIDE GRATING TYPE)
 OPTICAL MULTIPLEXER/DEMULITPLEXER)
 CIRCUIT (AMENDED))

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed listed references are disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof that is not a US patent document is also enclosed.

Dated this 15th day of December 2006.

Respectfully submitted,

/Dana L. Tangren/ Reg. # 37246

DANA L. TANGREN

Attorney for Applicant

Registration No. 37,246

Customer No. 022913

Telephone No. 801.533.9800

DLT:dfw
W:\14321\79\DFW0000020893\V001.DOC